

DECLARATION FOR PATENT APPLICATION

As the below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed
and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR INDUCING VENTRICULAR
ARRHYTHMIAS IN TEST ANIMALS**

the specification of which (check one)

_____ is attached hereto.

XXXX was filed on May 7, 1999 as Serial No. 09/307,230
and was amended on (or amended through)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified
specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of
this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that
all statements made on information and belief are believed to be true; and further that these
statements were made with the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole inventor: PENG-SHENG CHEN

Sole inventor's signature:

Peng-Sheng Chen

Date:

7/9/99

Residence:

4925 Oakwood Ave
La Canada CA 91011

Citizenship:

U.S.A.

Post Office Address:

Same as above.

ASSIGNMENT

This Assignment is made by Peng-Sheng Chen of Los Angeles, California ("Assignor") to CEDARS-SINAI MEDICAL CENTER, a California corporation, having a place of business at 8700 Beverly Boulevard, Los Angeles, California 90049-1865 ("Assignee").

WHEREAS, Assignor has invented a new and useful METHOD AND APPARATUS FOR INDUCING VENTRICULAR ARRHYTHMIAS IN TEST ANIMALS; for which an application for United States Letters Patent was filed on May 7, 1999 in the United States Patent and Trademark Office and assigned Serial No. 09/307,230 and identified as Attorney Docket No. P07 42713;

WHEREAS, Assignor believes himself to be the original inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Letters Patent in the United States and throughout the world for said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the Assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date provided below.

ASSIGNOR:

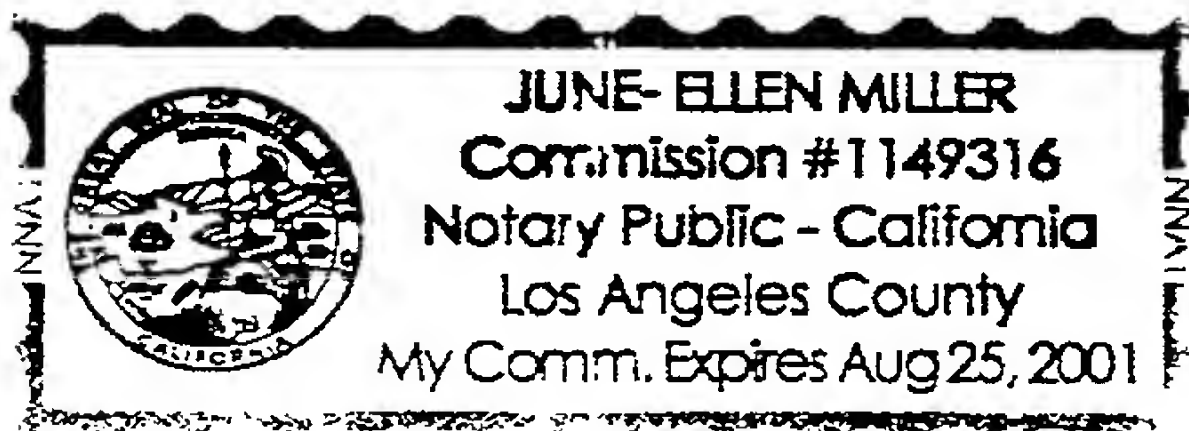
Dated: 7/9, 1999

Peng-Sheng Chen
PENG-SHENG CHEN

State of California)
County of Los Angeles) ss.

On this 9th day of July, in the year of 1999, before me personally appeared Peng-Sheng Chen, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



June-Allen Miller
Notary Public in and for Said
County and State

Docket No.: P07 42713

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit:	3737
Peng-Sheng Chen)		
)	Examiner:	To Be Assigned
)		
Serial No.: 09/307,230)		
)		
Filed: May 7, 1999)		
)		
For:			
METHOD AND APPARATUS FOR INDUCING VENTRICULAR			
ARRHYTHMIAS IN TEST ANIMALS			

CERTIFICATE UNDER 37 C.F.R. 3.73(b)
ESTABLISHING RIGHT OF ASSIGNEE TO PROSECUTE

CEDARS-SINAI MEDICAL CENTER, a non-profit, public benefit corporation duly organized and existing under the laws of the State of California, certifies that it is the Assignee of the entire, right, title and interest in the patent application identified above by virtue of and assignment from the inventor of the patent application identified above. A copy of the Assignment is attached.

The undersigned has reviewed all of the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in CEDARS-SINAI MEDICAL CENTER.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of CEDARS-SINAI MEDICAL CENTER.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States

Docket No.: P07 42713

PATENT

Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9 July 1999



Peter E. Braveman
Senior Vice President - Legal Affairs
and General Counsel

PATENT 07-42713

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION**

Docket No.
P07 42713

Serial No.
09/307,230

Filing Date
May 7, 1999

Patent No.

Issue Date

Applicant/
Patentee: **PENG-SHENG CHEN**

Invention: **METHOD AND APPARATUS FOR INDUCING VENTRICULAR ARRHYTHMIAS IN TEST ANIMALS**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **CEDARS-SINAI MEDICAL CENTER**

ADDRESS OF ORGANIZATION: **8700 Beverly Boulevard**
Los Angeles, CA 90048-1865
TYPE OF NONPROFIT ORGANIZATION:

- ☐ University or other Institute of Higher Education
- ☒ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of The United States of America
Name of State: Citation of Statute:
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America
Name of State: Citation of Statute:

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification to be filed herewith.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Peter E. Braveman

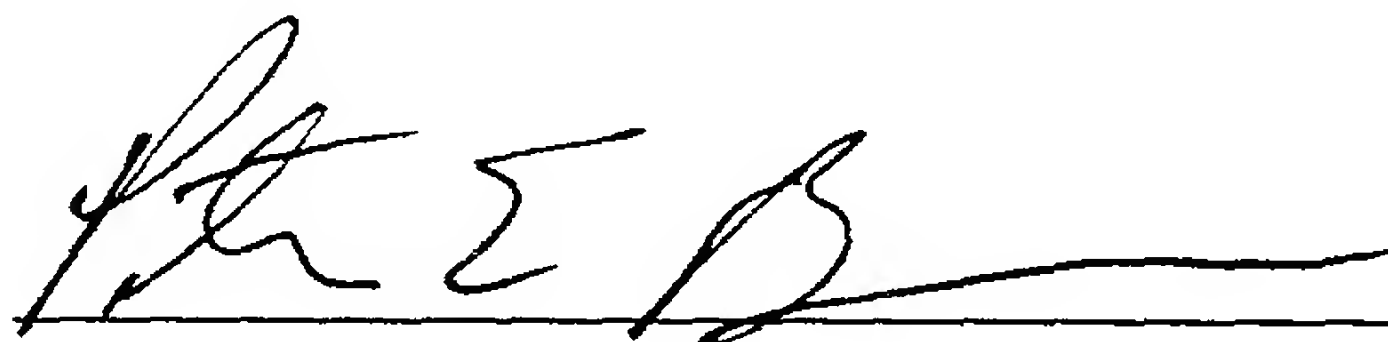
TITLE IN ORGANIZATION:

Senior Vice President - Legal Affairs and General Counsel

ADDRESS OF PERSON SIGNING:

CEDARS-SINAI MEDICAL CENTER8700 Beverly BoulevardLos Angeles, CA 90048-1865

SIGNATURE:



DATE:

9 July 1999

PATENT
P07 42713

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of) Group Art Unit: 3737
)
PENG-SHENG CHEN) Examiner:
)
Serial No. 09/307,230)
)
Filed: May 7, 1999)
)
For: METHOD AND APPARATUS FOR)
INDUCING VENTRICULAR)
ARRHYTHMIAS IN TEST ANIMALS)
)
_____)

POWER OF ATTORNEY

HONORABLE ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Dear Sir:

I hereby appoint the following attorneys to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith.

Laurence H. Pretty, Reg. No. 25,312; Robert A. Schroeder, Reg. No. 25,373; Edward G. Poplawski, Reg. No. 33,439; Jeffrey F. Craft, Reg. No. 30,044; Richard A. Wallen, Reg. No. 22,671; Michael J. MacDermott, Reg. No. 29,946; Anne Wang, Reg. No. 36,045; Paul D. Tripodi, II, Reg. No. 40,847; Marc E. Hankin, Reg. No. 38,908; Donald C. Kordich, Reg. No. 38,213; J. Christopher James, Reg. No. 40,660; Gregory S. Cordrey, Reg. No. 44,089; Matthew C. Lapple, Reg. No. 44,203; Eric T. King, Reg. No. 44,188; Carl A. Kukkonen, Reg. No. 42,773;

Nisan A. Steinberg, Reg. No. 40,345; Daniel C. Mallery, Reg. No. 33,532; and Michael L. Crapenhof, Reg. No. 37,115.

Direct all telephone calls to Daniel C. Mallery at (213) 622-7700.

Address all correspondence to:

Daniel C. Mallery, Esq.
PRETTY, SCHROEDER & POPLAWSKI
444 So. Flower Street, 19th Floor
Los Angeles, California 90071

Respectfully submitted,

CEDARS-SINAI MEDICAL CENTER

Dated:

9 July 1999

By:



Peter E. Braveman
Senior Vice President for
Legal Affairs and General Counsel

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REVOCATION OF PRIOR POWERS AND NEW POWER OF ATTORNEY**

Assistant Commissioner for
Patents
Washington, DC 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 I hereby certify that this document is being deposited on this date with the U.S. Postal Service to first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.	
<i>[Signature]</i>	Date: 5-2-01

Honorable Sir:

Cedars-Sinai Medical Center, having a place of business at 8700 Beverly Boulevard, Suite 2112, Los Angeles, California 90048-1888, and being the owner of the entire right, title and interest to the patent applications identified on the attached list, hereby revokes all previously given powers of attorney for the applications listed on attached sheet, and hereby appoints the following:

<u>Attorney</u>	<u>Registration No.</u>
Edward G. Poplawski	33,438
Denise L. McKenzie	43,780
Nisan A. Steinberg, Ph.D.	40,348

all of whom are members in good standing of a state bar, and are members of the law firm of Sidley Austin Brown & Wood, 555 West Fifth Street, Los Angeles, California 90013-1010 at (213) 866-8000, its representatives with full power of substitution and revocation, to transact all business in the United States Patent and Trademark Office connected therewith.

Effective immediately, please change the correspondence address related to the identified patent applications on the attached sheet:

from: Pretty, Schroeder, et al.
444 South Flower Street
Los Angeles, California 90071

to: Edward G. Poplawski, Esq.
Sidley Austin Brown & Wood
555 West Fifth Street
Los Angeles, California 90013-1010

and direct all future correspondence to the above address; and refer all telephone communications to Edward G. Poplawski at (213) 866-8001.

CEDARS-SINAI MEDICAL CENTER

Dated: May 2, 2001

By: *[Signature]*
Peter E. Brevenan
Senior Vice President for Legal Affairs and General Counsel

CEDARS-SINAI MEDICAL CENTER

Application No.	Filing Date
09/299,817	4/26/1999
09/294,737	4/19/1999
09/145,586	9/2/1998
09/500,158	2/8/2000
09/168,349	10/7/1998
09/488,491	1/20/2000
09/545,428	4/7/2000
09/399,212	9/17/1999
09/280,181	3/29/1999
09/347,114	7/2/1999
08/566,313	12/1/1995
09/226,068	1/6/1999
09/191,920	11/13/1998
09/272,443	3/19/1999
09/311,599	5/13/1999
09/570,357	5/12/2000
09/292,723	4/15/1999
09/409,616	9/30/1999
09/307,230	5/7/1999
09/353,004	7/13/1999
09/605,176	6/28/2000
09/581,456	6/12/2000

FOIA b7 - DATED

Application No.	Filing Date
09/491,500	1/26/2000
09/615,854	7/14/2000
09/488,191	1/20/2000
09/374,143	8/11/1999
09/374,142	8/11/1999
09/122,876	7/27/1998
09/481,084	1/11/2000
08/894,251	7/23/1999
09/569,956	5/12/2000
09/687,911	10/13/2000
09/730,469	12/4/2000
09/327,138	6/7/1999
09/513,059	2/24/2000
09/530,611	4/28/2000
09/235,710	1/22/1999
09/546,119	4/10/2000
09/420,046	10/18/1999